

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

# PCT

To:

VENNER, SHIPLEY LLP  
Attn. Walaski, Jan  
20 Little Britain  
London, Greater London, EC1A 1BB  
UNITED KINGDOM

RECEIVED

21 FEB 2005

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT AND  
THE WRITTEN OPINION OF THE INTERNATIONAL  
SEARCHING AUTHORITY, OR THE DECLARATION

(PCT Rule 44.1)

Applicant's or agent's file reference

JFW47086PCT1

Date of mailing  
(day/month/year)

21/02/2005

**FOR FURTHER ACTION**

See paragraphs 1 and 4 below

International application No.

PCT/IB2004/051999

International filing date  
(day/month/year)

06/10/2004

Applicant

NOKIA CORPORATION

1. ☒ The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.

**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO, 34 chemin des Colombettes  
1211 Geneva 20, Switzerland, Facsimile No.: (41-22) 740.14.35

**For more detailed instructions,** see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.
3. ☐ **With regard to the protest** against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:
- ☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.
  - ☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

**4. Reminders**

Shortly after the expiration of **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date.

Within **19 months** from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until **30 months** from the priority date (in some Offices even later); otherwise, the applicant must, **within 20 months** from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.

In respect of other designated Offices, the time limit of **30 months** (or later) will apply even if no demand is filed within 19 months.

See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
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Authorized officer

Alexandra Voye

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>JFW47086PCT1</b>	<b>FOR FURTHER ACTION</b> see Form PCT/ISA/220 as well as, where applicable, item 5 below.	
International application No. <b>PCT/IB2004/051999</b>	International filing date (day/month/year) <b>06/10/2004</b>	(Earliest) Priority Date (day/month/year) <b>16/10/2003</b>
Applicant  <b>NOKIA CORPORATION</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ The international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. ☐ With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, see Box No. I.

2. ☐ **Certain claims were found unsearchable** (See Box II).

3. ☐ **Unity of invention is lacking** (see Box III).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. With regard to the **drawings**,

- a. the figure of the **drawings** to be published with the abstract is Figure No. 4

☐ as suggested by the applicant.

☒ as selected by this Authority, because the applicant failed to suggest a figure.

☐ as selected by this Authority, because this figure better characterizes the invention.

- b. ☐ none of the figures is to be published with the abstract.

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/IB2004/051999

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 G06K7/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 640 002 A (RUPPERT ET AL) 17 June 1997 (1997-06-17) abstract column 24 - column 31; figures 26B-D,L -----	8-13,15, 16

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

4 February 2005

Date of mailing of the international search report

21/02/2005

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Schmidt, R

### Information on patent family members

PCT/IB2004/051999

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5640002	A	17-06-1997	NONE

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL SEARCHING AUTHORITY

## PCT

To:

see form PCT/ISA/220

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1)

Date of mailing  
(day/month/year) see form PCT/ISA/210 (second sheet)

Applicant's or agent's file reference  
see form PCT/ISA/220

**FOR FURTHER ACTION**  
See paragraph 2 below

International application No.  
PCT/B2004/051999

International filing date (day/month/year)  
06.10.2004

Priority date (day/month/year)  
16.10.2003

International Patent Classification (IPC) or both national classification and IPC  
G06K7/00

Applicant  
NOKIA CORPORATION

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:



European Patent Office  
D-80298 Munich  
Tel. +49 89 2399 - 0 Tx: 523656 epmu d  
Fax: +49 89 2399 - 4465

Authorized Officer

Schmidt, R  
Telephone No. +49 89 2399-2491



**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/IB2004/051999

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**Box No. I Basis of the opinion**

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1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
  - ☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
  - a. type of material:
    - ☐ a sequence listing
    - ☐ table(s) related to the sequence listing
  - b. format of material:
    - ☐ in written format
    - ☐ in computer readable form
  - c. time of filing/furnishing:
    - ☐ contained in the international application as filed.
    - ☐ filed together with the international application in computer readable form.
    - ☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/IB2004/051999

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**Box No. V Reasoned statement under Rule 43*b*/s.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

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**1. Statement**

Novelty (N)	Yes: Claims	1-7,14,17-23
	No: Claims	8-13,15,16
Inventive step (IS)	Yes: Claims	1-7,14,17-23
	No: Claims	8-13,15,16
Industrial applicability (IA)	Yes: Claims	1-23
	No: Claims	

**2. Citations and explanations**

**see separate sheet**

**Re Item V.**

- 1 Reference is made to the following document:

D1: US-A-5 640 002 (RUPPERT ET AL) 17 June 1997 (1997-06-17)

- 2 Regarding the subject-matter of independent device claim 8, document D1 which represents the closest prior art discloses a mobile terminal comprising a radio frequency identification reader (cf. abstract). Said device comprises a processor (cf. figure 19, microcomputer 320) which is arranged to determine a context of the mobile terminal based upon information received regarding an environment of the mobile terminal. Such contexts regarding an environment of the mobile terminal may be whether communication signals are received (cf. figures 26B(1), 26J and associated description) via the wireless radio transceiver (cf. figure 19, spread spectrum radio receiver 307); whether touch events are performed on a touch screen by a user (cf. figure 26B/C and column 24, line 38 - column 25, line 31) or the result of tests determining whether RFID tags are found in the interrogation range of the device (cf. figures 26B(2), 26L and column 29, line 10 - column 30, line 7). Based upon such contexts the processor controls and adjusts the power consumption of the device by powering off all subsystems to enter a standby-mode, for instance, when the determination of said contexts shows that no further processing is required (cf. figure 26D and column 31, lines 13-53). Hence, from the foregoing, it is clear that there must be at least one controller in communication with said at least one processor since otherwise the processor would not be able control and adjust the power consumption of the subsystems. Furthermore, powering off all subsystems includes powering off said RFID reader and when, in said stand-by mode, said reader is no longer operated at all, this corresponds an alteration of the frequency (namely: to zero) at which said RFID reader is actuated.

Accordingly, all the features of the subject-matter of device claim 8 are at least implicitly disclosed in documents D1.

Hence, claim 8 does not meet the requirements of Article 33(2) EPC.



The same applies for the subject-matter of device claim 16 which is even broader than claim 8.

- 3 Independent claim 1 is a method claim essentially drafted in analogy to device claim 8. However, there is one additional feature which defines that the power consumption is not only adjusted based upon the present context but "upon the context of the mobile terminal relative to at least one previous context determination". Corresponding measures are neither known from nor suggested by D1 and provide the advantageous effect that not only the entry into a stand-by mode can be controlled but also more complex power saving schemes like the dynamic adaption of the RFID interrogation frequency to environments with a varying number of RFID tags like the power saving scheme described in conjunction with figure 4 (cf. description, page 22, line 22 ff.).

Hence, the subject-matter of claim 1 meets the requirements of Article 33(2) and (3) PCT. The same applies to claim 17 because it is directed to a computer program product essentially implementing the method of claim 1.

- 4 Regarding the dependent claims the following has to be noted:

The subject-matter of claims 2-7 and 18-23 meets the requirements of Article 33(2) and (3) since these claims depend on claims 1 or 17, respectively.

The features added by claims 9-13 and 15 to the subject-matter of claim 8 are either known from D1 or appear to be at least suggested in view of its teachings so that the subject-matter of these claims does not meet the requirements of Article 33(2) and (3).

The subject-matter of claim 14 meets the requirements of Article 33(2) and (3) because it contains device features corresponding to the method features which render the subject-matter of claim 1 novel and inventive.